Disturbed EEG sleep, paranoid cognition and somatic symptoms identify veterans with post-traumatic stress disorder

Harvey Moldofsky, Lorne Rothman, Robert Kleinman, Shawn G. Rhind and J. Donald Richardson

Background
Chronic post-traumatic stress disorder (PTSD) behavioural symptoms and medically unexplainable somatic symptoms are reported to occur following the stressful experience of military combatants in war zones.

Aims
To determine the contribution of disordered EEG sleep physiology in those military combatants who have unexplainable physical symptoms and PTSD behavioural difficulties following war-zone exposure.

Method
This case-controlled study compared 59 veterans with chronic sleep disturbance with 39 veterans with DSM-IV and clinician-administered PTSD Scale diagnosed PTSD who were unresponsive to pharmacological and psychological treatments. All had standardised EEG polysomnography, computerised sleep EEG cyclical alternating pattern (CAP) as a measure of sleep stability, self-ratings of combat exposure, paranoid cognition and hostility subscales of Symptom Checklist-90, Beck Depression Inventory and the Wahler Physical Symptom Inventory. Statistical group comparisons employed linear models, logistic regression and chi-square automatic interaction detection (CHAID)-like decision trees.

Results
Veterans with PTSD were more likely than those without PTSD to show disturbances in non-rapid eye movement (REM) and REM sleep including delayed sleep onset, less efficient EEG sleep, less stage 4 (deep) non-REM sleep, reduced REM and delayed onset to REM. There were no differences in the prevalence of obstructive sleep apnoeas/hypopnoeas and periodic leg movements, but sleep-disturbed, non-PTSD military had more EEG CAP sleep instability. Rank order determinants for the diagnosis of PTSD comprise paranoid thinking, onset to REM sleep, combat history and somatic symptoms. Decision-tree analysis showed that a specific military event (combat), delayed onset to REM sleep, paranoid thinking and medically unexplainable somatic pain and fatigue characterise chronic PTSD. More PTSD veterans reported domestic and social misbehaviour.

Conclusions
Military combat, disturbed REM/non-REM EEG sleep, paranoid ideation and medically unexplained chronic musculoskeletal pain and fatigue are key factors in determining PTSD disability following war-zone exposure.

Declaration of interest
None.

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experience in combat zones, enabling us to separate PTSD symptoms alone from those that might be associated with combat experience alone and to assess combat experience along with other patient measures when building models. We measured behaviour symptoms, including negative cognition (depressive, hostile, aggressive and paranoid thinking), and chronic physical health concerns, including disturbances in EEG sleep physiology, sleep quality and medically unexplained somatic symptoms (e.g. musculoskeletal pain and fatigue).

Method

Participants

The participants comprise consecutive patients referred between 2008 and 2014 from an Operational Stress Injury (OSI) clinic of the Department of Veteran Affairs of Canada. The OSI clinic specialises in the assessment and treatment of PTSD and other operational stress injuries in the Canadian military and veteran forces (CF). The diagnosis of PTSD was determined by a psychiatrist employing a comprehensive psychiatric examination and the Clinician-Administered PTSD Scale (CAPS) for DSM-IV,5 where the CAPS mean rating was 77.38 and s.d. 21.36. To control for commonly disrupted and insufficient sleep problems in the military, we compared sleep and symptoms in CF veterans with persistent symptoms of PTSD with CF personnel without a history PTSD who complained of chronic insomnia. This latter group of participants were referred from Canadian Department of National Defence (DND) medical ambulatory clinics for diagnostic assessment of a possible chronic primary sleep disorder such as sleep apnoea or restless legs/sleep-related periodic limb movement disorder.

The participants consented to be assessed in the Centre for Sleep and Chronobiology in Toronto for specialised comprehensive sleep/wake clinical and laboratory investigations of their chronic treatment-resistant symptoms. The research was reviewed and approved by the DND, Institute of Military and Veterans Research Institute in association with Defence Research and Development Canada.

Table 1 shows the description of the participants: gender, mean age, mean BMI and the independent variables: combat experience (combat 1=yes and 0=no or unknown) and the presence of treatment-resistant PTSD (1=yes and 0=no). All patients with PTSD (33 with combat and 6 without) were referred from the OSI. No patients with PTSD were referred from the DND (28 with combat and 31 without). There were no significant differences in the two groups’ ages and BMI values. There were no females with PTSD in the study. SAS Software Multivariate Analysis of Variances (MANOVAs) tests showed no significant gender differences when comparing non-PTSD females (n=9) with non-PTSD males (n=50). Both genders were included in the study. Combat experience included those patients who had served in combat zones (e.g. Gulf War and Afghanistan) and those who developed treatment-resistant PTSD symptoms following deployment to the so-called ‘U.N. peace-keeping missions’ in dangerous combative environments, that is, the Balkans (Bosnia and Croatia) and Africa/Middle East (Suez Canal zone, Rwanda, Somalia and Cyprus). Six cases of PTSD occurred in sleep-disturbed, non-combat CF patients. Distressing non-combat traumatic events were quite varied. They included sexual assault, witness to a major airplane crash, engagement in dangerous service with the military police, witness to a suicide of a soldier and participation in a military training exercise.

All patients with treatment-resistant PTSD (n=39) were functionally impaired despite psychological and lengthy pharmacological treatments (median 32.5 months (range 6–249 months)).

All patients with PTSD had received cognitive–behavioural treatments, which included trauma-focussed behaviour therapy such as prolonged exposure and various types of psychological support, for example, individual, marital, family counselling and group therapies.

At the time of the sleep study, patients with PTSD were taking at least two drug class combinations of antipsychotics (e.g. risperidone, quetiapine, aripiprazole; n=12), various antidepressants (e.g. mirtazapine, bupropion, venlafaxine, citalopram; n=34), anticonvulsant mood modulators (sodium valproate or topiramate; n=13), and benzodiazepine sedative hypnotics (n=8). In comparison with the patients with PTSD, the 59 with unremitting sleep problems and no PTSD used fewer antidepressants (n=16), anticonvulsants (n=4), antipsychotics (n=5) and sedative hypnotics (n=1) (all \( \chi^2 \) test, \( P<0.05 \)). Table 1 provides a detailed description of the groups of participants, with and without PTSD, their use of classes of medications and whether or not they had been exposed to combat. The medical records that were made available did not specify the psychotropic medications before their treatments at the time of the polysomnographic sleep study. In particular, of the unknown number of individuals who had previously received the alpha1-adrenergic receptor blocker (prazosin) for disturbing dreams, only two participants continued to find that this drug satisfactorily reduced their recurrent nightmares. Moreover, the psychotropic treatments were not withdrawn to avoid potential adverse effects and permit full cooperation of the patients to participate in the various physiological and behavioural proceedings.

In addition, to the psychotropic drugs shown in Table 1, other medications that were consumed include the following.

- Patients with PTSD received pain medication (opiates: 3; NSAIDs: 6). Sixteen patients received medication for...
cardiovascular problems. Three patients were treated for type 2 diabetes.

- Those without PTSD were prescribed more NSAIDs (n=11) and no opiates, but similar cardiovascular drugs (n=13) and antidiabetic drugs (n=4).

Those individuals who had been previously identified by the military physicians as having problems with alcohol and/or substance abuse/dependency had been treated for their condition(s) before the time of referral for their sleep study. For this study, all participants completed a self-rated standardised questionnaire on the types, amount and frequency of use of alcohol and recreational drugs over the previous year and during 2 weeks before their sleep study. During the 2 weeks before the sleep study, neither group abused alcohol and there were no differences in their alcohol habits. During the previous year, eight patients with PTSD used cannabis, whereas none of the patients without PTSD used cannabis (χ² test, P<0.01). Within the 2 weeks before the sleep study, only two patients with PTSD had occasionally taken cannabis drugs, whereas none in the sleep-disordered group used cannabis drugs (χ² test, P=NS).

The individuals with and without PTSD completed psychological and physical symptom self-reports before a single overnight EEG sleep study. Because sleep disturbance has the potential to promote negative cognitions such as irritability, aggressive behaviour, paranoid and depressive thinking that may occur with PTSD, we employed the Symptom Checklist-90 (SCL-90) subscales for hostility and paranoid thinking and the Beck Depression Inventory (BDI). Physical symptoms were self-rated from 1 to 5 with the Wahler Physical Symptom Inventory (WPSI). The number of symptoms self-rated as 4 or 5 on the WPSI scale (corresponding to ‘about twice a week’ or ‘nearly every day’) was tallied to determine the prevalence of troublesome symptoms. Frequency of regional pain symptoms, severity of fatigue (range from 1 ‘full of energy’ to 7 ‘totally physically exhausted’), and Stanford Sleepiness Scale were self-rated before and after the overnight sleep.

The frequency of sleep-related behavioural disturbances during the prior 2 months was rated on the Sleep-Wake questionnaire with a graded scale of 0 – 3 (0=never, 1=sometimes, 2=often and 3=always). The behavioural disturbances during sleep included frequency of awakening during the night because of nightmares or unpleasant dreams, told that you had screamed or shouted and disturbing (bad) dreams during sleep. Abnormal cognition included self-ratings of frequency of harmful behavioural to self and others noted in BDI Q9 (10): thoughts of killing self-rated on a scale of 0–3. Abnormal temper outbursts that could not be controlled included urges to beate, injure or harm someone; urges to break or smash things; shouting or throwing things (questions from the SCL-90, which were rated on a scale of 0–4: 0=not at all, 1=a little bit, 2=moderately, 3=quite a bit, 4=extremely). Physiological assessment of sleep comprised standardised EEG polysonomography (PSG). The PSG included electroencephalogram (EEG C3 and C4), electro-oculogram, submental and bilateral anterior tibialis electromyogram, single anterior lead electrocardiogram, measures of respiration comprising measures of airflow with oral-nasal thermistors, respiratory impedance plethysmography and pulse oximetry. An experienced registered PSG technologist completed blind ratings of sleep physiological indices. The sleep EEG stages were scored according to the original Rechtschaffen and Kales criteria which were needed for a computerised physiological sleep measure of EEG sleep stability known as the Cylclical Alternating Pattern (CAP). Sleep EEG CAP is characterised by sequences of transient EEG changes in non-REM sleep that occur distinctively from the background EEG activities. They comprise three subtypes: CAPA1 is related to favourble sleep stability. CAPA2 and CAPA3 are progressive indices of poor sleep quality or sleep instability. These abnormal physiological features have been observed in patients who have chronic widespread musculoskeletal pain and fatigue, as well as sleep-breathing disorders. Analysis of nocturnal sleep EEG CAP was determined by an Embla computerised automatic detection system.

Data analyses

MANOVAs were fit into four groups of dependent variables. These included PSG and sleep EEG CAP indices; self-ratings of behaviour, including negative cognition; pre- and post-PSG sleepiness and fatigue; and physical symptom severity. Twenty-one participants completed a self-rated standardised questionnaire on the types, amount and frequency of use of alcohol and recreational drugs over the previous year and during 2 weeks before their sleep study. For this study, all participants completed a self-rated standardised questionnaire on the types, amount and frequency of use of alcohol and recreational drugs over the previous year and during 2 weeks before their sleep study. During the 2 weeks before the sleep study, neither group abused alcohol and there were no differences in their alcohol habits. During the previous year, eight patients with PTSD used cannabis, whereas none of the patients without PTSD used cannabis drugs, whereas none in the sleep-disordered group used cannabis drugs (χ² test, P=NS).

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**Results**

Patients with PTSD differed from patients without PTSD in prevalence of suites of physical and behavioural health problems, while controlling for the effects of combat exposure. MANOVA tests showed significant differences between patients with PTSD and without PTSD in sleep measures (P<0.01), psychological symptoms self-reports (P<0.0001) and physical symptoms self-reports (P<0.01). The MANOVA test showed no significant difference between PTSD and non-PTSD on subtypes of sleep EEG CAP measures (P>0.05).
While controlling for the effects of combat exposure, individual ANOVAs showed differences between PTSD and non-PTSD groups in their distributions of onset to EEG sleep, sleep efficiency, EEG stage 4, REM sleep onset latency, REM% and EEG CAP indices measures including CAP frequency, CAPA2, CAPA3 & CAPA1 & A2 & A3 (see Table 2). Those with PTSD took longer to fall asleep, had less efficient sleep (i.e. less time asleep relative to time in bed), less deep (stage 4) sleep, lower percent of time in REM sleep and a prolonged delay to onset of REM sleep. The higher CAP frequency index in the non-PTSD group is consistent with their complaint of chronic poor quality of sleep in the absence of a specific combat-related traumatic experience. These sleep EEG differences may be influenced by more frequent use by the PTSD group of psychotropic drugs.

There were no group differences in prevalence of primary sleep disorders such as obstructive sleep apnoea or sleep-related periodic leg movements (PLM). The prevalence of patients with PTSD with an index of obstructive sleep apnoeas/hypopnoeas per hour of sleep >5 per hour (n=35) did not differ from patients without PTSD (n=25, χ²=NS). Furthermore, there were no statistical differences in their frequencies of sleep EEG arousals from sleep apnoea/hypopnoeas or with sleep-related PLM (see Table 2).

Behavioural disturbances during sleep were more common among the PTSD veterans. Of the 39 patients with PTSD, 32 were more likely to be awakened by nightmares versus 11 of 59 patients among the PTSD veterans. Of the 39 patients with PTSD, 32 were during sleep (>5 per hour (P<0.05). Seven of those in the combat-related PTSD group also reported inadvertently striking, but not seriously injuring, their partner during sleep, whereas none of the non-PTSD group described any abnormal sleep-related behaviour.

Patients with PTSD had greater mean ratings on the WPSI with more frequent physical problems (commonly musculoskeletal pain and post-sleep fatigue) (Table 3).

Patients with PTSD described significantly more BDI depressive symptoms (see Table 4). Further, seven patients with PTSD reported suicidal intentions, whereas none of the patients without PTSD reported suicidal intentions.

More patients with PTSD reported hostility and paranoid notions on the SCL-90 (Table 4). Nineteen participants reported domestic and/or violent social behaviour with three being charged for assaultive behaviour. In contrast, none of the non-PTSD patients reported any form of social misbehaviour (χ² test, P<0.001).

CDA and, in particular, logistic regression and decision trees identified the most salient combinations of measures that characterise PTSD. All five runs of CDA, which used multiply imputed data sets, showed significant discriminant functions (P<0.001). Excluding combat exposure, the top six variables that are most correlated (|r|>0.4) with these functions and were the most discriminating characteristics of PTSD, comprise the following features: BDI total score, paranoid score, REM onset latency, SCL-90 hostility scale score, WPSI symptom score and reduced antidepressant, antipsychotic and anticonvulsants.

The CHAID-like decision-tree analysis reveals the key traits that distinguish PTSD from non-PTSD and their relative importance. Paranoid score was the most important variable in the tree model, followed by REM onset latency, exposure to combat and WPSI severity score based on each measure’s contribution to impurity reduction across the tree (relative importance: 1, 0.79, 0.77 and 0.49, respectively). For individuals who were exposed to combat, longer delays to onset of REM sleep (REM onset latency≥175.5 min) lead to higher propensity of exhibiting PTSD (0.79 or 79%). Lower REM onset latency and high paranoid scores (≥2.5) lead to low PTSD propensity. With no combat experience, PTSD is still highly probable (0.83 or 83%) with lower paranoid scores (<2.5) and lower somatic symptom ratings (WPSI≥11). On the other hand, those non-combat individuals with lower paranoid and somatic symptom ratings were likely to have a low propensity for PTSD (see Fig. 1).

<table>
<thead>
<tr>
<th>Table 2</th>
<th>Results of ANOVAs sleep physiology measures</th>
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<tr>
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<td>REM (%)</td>
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<td>REM onset latency (min)</td>
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<td>Apnoea-hypopnoea arousals</td>
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<td>PLM arousals</td>
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<td>Spontaneous arousals</td>
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<td>CAPA2 Index Cycle</td>
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<td>CAPA3 Index Cycle</td>
<td>9.16</td>
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<td>Total CAP Index Cycle</td>
<td>0.25</td>
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All P-values from ranked (non-parametric) tests are adjusted by the adaptive Holm method to control family-wise error rate. Least-square means (LSM) for PTSD, no (0) and yes (1), are adjusted to remove combat effects. Bold denotes significance.
previous studies that associate sleep-related breathing disorders to light sleep, less slow-wave (deep) sleep and alterations in REM.34 Those without PTSD showed similar findings with more stage 1 sleep.35,36 The higher EEG CAP frequency in the patients without sleep EEG CAP24 and to PTSD in the military,35 this study found no statistical differences in apnoea/hypopnoea index, sleep-related respiratory arousals or frequency of periodic limb movement arousals between those with combat-related PTSD and those with sleep-disturbed, non-PTSD military individuals.

In this study of CF veterans with chronic post-combat PTSD, a prolonged delay in the onset to REM sleep is accompanied by negative mood and negative cognitive symptoms. Whereas most tricyclic, SSRI and SNRI antidepressant drugs may reduce and delay the onset to REM sleep,31 the delay in onset to REM sleep persists when the use of antidepressants, as well as anticonvulsants and psychotropic drugs, is statistically controlled. A previous sleep EEG study, however, that compared the sleep of drug-free combat-related PTSD patients with patients with major depression and normal individuals showed that the PTSD group had similar reduction in REM sleep and no delay in onset to REM sleep.36

Overall, our results are supportive of Germain's hypothesis and previous EEG sleep research that disturbed REM and non-REM sleep contribute to maladaptive stress and trauma responses in PTSD.38 Ideally, further studies of sleep physiology should involve comparisons between a group of non-sleep-altering medicated sleep-disturbed individuals with PTSD and age-matched normal healthy sleepers who are acclimatised to the novelty of the laboratory environment. To affirm the importance of a disorganisation of the REM/non-REM sleep as a possible key factor to the emergence of PTSD, it would be preferable to examine the EEG sleep before any drug administration, which may influence sleep physiology. The design of the research did not permit a comparative study with those who had benefitted from specific pharmacological and/or behavioural treatment. A future study employing similar physiological and behavioural methodology should comprise a large group of randomised, early-identified combat-exposed versus non-combat-exposed individuals who are free of potentially sleep EEG confounding psychotropic drugs. Such research may pave the way to determining what pharmacological and behavioural methods would be useful in those early-detected post-combat military with PTSD.

Discussion

This study provides provisional evidence for the key clinical and physiological sleep features that characterise veterans who remain functionally disabled with PTSD despite various drug and behavioural treatments. The results are consistent with DSM-V behavioural symptomatology. The association of malfunctions of the sleeping/waking brain and medically unexplained physical symptoms with DSM-IV behavioural symptoms, identified in the MANOVA and CDA analyses, broadens our understanding of chronic PTSD. These psychological and somatic symptoms are consistent with our hypothesis that EEG sleep disturbances may provide the link between negative cognition and mood, and somatic symptoms. While ANOVA and MANOVA statistics show these symptoms to be independent of combat stressor effects, the war-zone experience itself is a key characteristic of PTSD among military personnel, as shown by both the logistic regression and decision-tree models (see Fig. 1). Our decision-tree model in Fig. 1 shows that combat military service, disturbed REM sleep, paranoid thinking and medically unexplainable somatic symptoms (commonly musculoskeletal pain) characterise CF veterans with chronic PTSD. However, the regression model, while identifying combat and altered EEG sleep, did not include somatic symptoms.

Our EEG sleep results complement previous studies that show the importance of disturbances in REM and non-REM sleep. Those with chronic PTSD take longer to fall asleep, have reduced sleep efficiency, reduced stage 4 (deep) sleep, reduced and delayed onset to REM sleep,32–35 A meta-analysis of previous polysomnographic studies of military and civilian patients with PTSD versus those without PTSD showed similar findings with more stage 1 (light) sleep, less slow-wave (deep) sleep and alterations in REM.34 Furthermore, our findings are consistent with previous PTSD sleep studies, which show prolongation in the onset to REM sleep.35,36 The higher EEG CAP frequency in the patients without PTSD, a sensitive marker for sleep instability,19 may be related to their primary complaint of disturbed and unrefreshing sleep. Such differences in EEG CAP frequency are unlikely to be affected by psychotropic drugs, which reduce EEG CAP rate.37 Unlike previous studies that associate sleep-related breathing disorders to

### Table 3
Physical self-reports

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<tr>
<th>Dependent</th>
<th>PTSD No</th>
<th>PTSD Yes</th>
<th>PTSD No</th>
<th>PTSD Yes</th>
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<tr>
<td></td>
<td>LSM</td>
<td>SE</td>
<td>n</td>
<td>LSM</td>
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<tr>
<td>Pre-sleep sleepiness</td>
<td>2.94</td>
<td>0.14</td>
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<td>2.99</td>
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<td>Post-sleep sleepiness</td>
<td>3.03</td>
<td>0.14</td>
<td>51</td>
<td>3.27</td>
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<tr>
<td>Pre-sleep fatigue</td>
<td>3.67</td>
<td>0.20</td>
<td>53</td>
<td>4.38</td>
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<tr>
<td>Post-sleep fatigue</td>
<td>2.93</td>
<td>0.18</td>
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<td>3.88</td>
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<tr>
<td>Pre-sleep pain</td>
<td>3.02</td>
<td>0.51</td>
<td>52</td>
<td>5.25</td>
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<tr>
<td>Post-sleep pain</td>
<td>3.19</td>
<td>0.57</td>
<td>51</td>
<td>5.16</td>
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<tr>
<td>Somatic symptoms (WPSI)</td>
<td>5.44</td>
<td>0.63</td>
<td>57</td>
<td>10.15</td>
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All P-values from ranked (non-parametric) tests are adjusted by the adaptive Holm method to control family-wise error rate. Least-square means (LSM) for PTSD, no (0) and yes (1), are adjusted to remove combat effect. Bold denotes significance.

### Table 4
Results of ANOVAs on raw and ranked data for SCL-90 subscales for paranoid, hostility and BDI psychological self-reports

<table>
<thead>
<tr>
<th>Dependent</th>
<th>PTSD No</th>
<th>PTSD Yes</th>
<th>PTSD No</th>
<th>PTSD Yes</th>
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<tbody>
<tr>
<td></td>
<td>LSM</td>
<td>SE</td>
<td>n</td>
<td>LSM</td>
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<tr>
<td>Hostility score</td>
<td>3.02</td>
<td>0.63</td>
<td>53</td>
<td>6.56</td>
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<tr>
<td>Paranoid score</td>
<td>2.05</td>
<td>0.48</td>
<td>56</td>
<td>5.68</td>
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<td>BDI score</td>
<td>9.88</td>
<td>1.05</td>
<td>56</td>
<td>22.74</td>
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All P-values from ranked (non-parametric) tests are adjusted by the adaptive Holm method to control family-wise error rate. Least-square means (LSM) for PTSD, no (0) and yes (1), are adjusted to remove combat effect. Bold denotes significance.
Fig. 1 Chi-square automatic interaction detection (CHAID)-like decision tree with Beck Depression Inventory removed from analysis. The model shows the percentage (probability × 100) of post-traumatic stress disorder (PTSD), given patient combat experience, REM latency, and paranoid and Wailer Physical Symptom Inventory (WPSI) scores. Red segments show groups of patients with higher probabilities of PTSD than the overall average, whereas green segments show groups of patients with lower probabilities. Note: Because both patients with and without PTSD are shown, the figure uses the DSM-IV PTSD terms to describe each of the segments.

Highlights their importance in the current DSM-5 criteria. This finding highlights the importance of paranoid and hostile behaviour in those with PTSD following a major traumatic experience. Their presence in these veterans with chronic PTSD, despite their various drug and behavioural treatments, may stem from an inability in civilian life to overcome their combat-ready vigilant mindset, which becomes ingrained in military training. Such cognitive and behavioural abnormalities may contribute to the increased prevalence of dysfunctional domestic and social behaviour in U.S. veterans with PTSD, and in post-combat British veterans.

In conclusion, the results of this study and previous clinical research support the notion that chronic disturbed sleep physiology, unexplained musculoskeletal pain and fatigue symptoms should be considered to be integral to irremediable post-combat PTSD. Moreover, paranoid and hostile ideation among those with post-combat PTSD, as well as in civilians with PTSD, may be a cautionary signal to the potential for antisocial behaviour. Further studies are needed to determine whether civilians who are victims of psychologically stressful events (e.g. sexual assault, non-physically injurious MVA, and industrial and environmental disasters) may demonstrate similar distinguishing features that predispose to PTSD as noted in Fig. 1.

References


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